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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

3 of 3

Complete if Known

Application Number	
Filing Date	03-19-04
First Named Inventor	Yoshi Ono
Group Art Unit	
Examiner Name	
Attorney Docket Number	SLA0830

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		<p>B. EITAN, P. PAVAN, I. BLOOM, E. ALONI, A. FROMMER, D. FINZI, "NROM: A novel localized trapping, 2-bit nonvolatile memory cell", IEEE Electron Device Letters, Vol. 21, No. 11, November 2000, pp. 543-545.</p> <p>Y.K. LEE, J.S. SIM, S.K. SUNG, C.J. LEE, T.H. KIM, J.D. LEE, B.G. PARK, D.H. LEE, Y.W. KIM, "Multilevel vertical-channel SONOS nonvolatile memory on SOI", IEEE Electron Device Letters, Vol. 23, No. 11, November 2002, pp. 664-666.</p> <p>B. KIM, S.E. LEE, K.Y. SEO, "Single power supply operated and highly reliable SONOS EEPROMs", Journal of the Korean Physical Society, Vol. 40, No. 4, April 2002, pp. 642-644.</p> <p>V.V. AFANA'SEV, A. STESMANS, "Stable trapping of electrons and holes in deposited insulating oxides: Al₂O₃, ZrO₂, and HfO₂", Journal of Applied Physics, Vol. 95, No. 5, 1 March 2004, pp. 2518-2526.</p>	

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

PATENT APPLICATION

Inventors: Yoshi Ono, John F. Conley, Jr., and
Pooran Chandra Joshi

Attorney Docket No.
SLA0830

Serial No: Not Yet Assigned

Filed: Herewith

Title: CHARGE TRAP NON-VOLATILE
MEMORY STRUCTURE FOR 2 BITS
PER TRANSISTOR

Hon. Commissioner for Patents
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Sir:

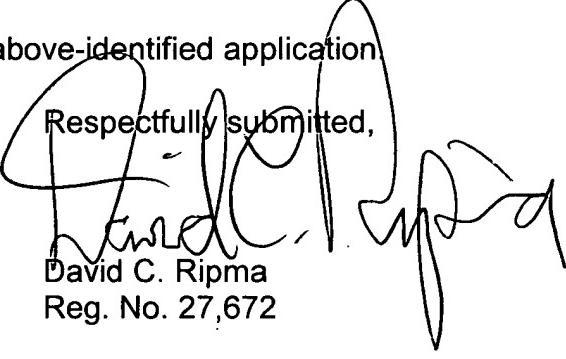
Listed on attached Form PTO-1449 is information submitted pursuant to
37 C.F.R. §1.56. A copy of each listed publication is submitted herewith.

Applicant respectfully requests that the listed information be considered by
the Examiner and made of record in the above-identified application.

March 19, 2004

(Date)

Respectfully submitted,


David C. Ripma
Reg. No. 27,672

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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of

3

Complete if Known

Application Number	
Filing Date	03-19-04
First Named Inventor	Yoshi Ono
Art Unit	
Examiner Name	
Attorney Docket Number	SLA0830

U.S. PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US- 5,768,192	06-16-1998	Eitan	
		us- 6,011,725	01-04-2000	Eitan	
		US- 6,030,871	02-29-2000	Eitan	
		US- 6,127,227	10-03-2000	Lin et al.	
		US- 6,201,282 B1	03-13-2001	Eitan	
		US- 6,215,148 B1	04-10-2001	Eitan	
		US- 6,309,927 B1	10-30-2001	Au et al.	
		US- 6,348,711 B1	02-19-2002	Eitan	
		US- 6,538,292 B2	03-25-2003	Chang et al.	
		US- 6,551,880 B1	04-22-2003	Lai et al.	
		US- 6,552,387 B1	04-22-2003	Eitan	
		US- 6,566,699 B2	05-20-2003	Eitan	
		US- 6,576,511 B2	06-10-2003	Pan et al.	
		US- 6,580,124 B1	06-17-2003	Cleeves et al.	
		US- 6,596,590 B1	07-22-2003	Miura et al.	
		US- 6,602,805 B2	08-05-2003	Chang	
		US- 6,605,839 B2	08-12-2003	Miura et al.	
		US- 6,642,113 B1	11-04-2003	Kuo et al.	
		US- 6,649,971 B1	11-18-2003	Yeh et al.	
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				

Examiner Signature

Date Considered

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Sheet	2	of	3	Attorney Docket Number	SLA0830

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